

Please type a plus sign (+) inside this box ☐

PTO/SB/01 (8-96) (MODIFIED)
Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☒ Declaration Submitted with Initial Filing
☐ Declaration Submitted after Initial Filing

Attorney Docket Number	X-11057
First Named Inventor	Cohen, Fredric J.
COMPLETE IF KNOWN	
Application Number	
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHODS OF PREVENTING BREAST CANCER

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 10/29/1997 as United States Application Number or PCT International

Application Number PCT/US97/19779 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached	
				YES	NO
9624800.0	GB	11/29/1996	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional applications(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	
60/029850	10/30/1996	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
60/040260	3/10/1997	

Please type a plus sign (+) inside this box ☐ ☒

PTO/SB/01 (8-96) (MODIFIED)
Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DECLARATION

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in h Patent and Trademark Office connected therewith:

Name	Reg. #	Name	Reg. #
Brian P. Barrett	39,597	Jerry F. Janssen	29,175
Michael T. Bates	34,121	Robert E. Lee	27,919
Roger S. Benjamin	27,025	James P. Leeds	35,241
David E. Boone	27,857	Ronald S. Maciak	35,262
William R. Boudreaux	35,796	Janet T. McClain	36,863
Steven P. Caltrider	36,467	Douglas K. Norman	33,267
Paul R. Cantrell	36,470	Arleen Palmberg	40,422
Daniel W. Collins	31,912	Kathleen R.S. Page	20,981
Robert A. Conrad	32,089	Thomas G. Plant	35,784
John C. Demeter	30,167	James J. Sales	33,773
Paul J. Gaylo	36,808	Janelle D. Strode	34,738
Edward P. Gray	30,638	Douglas J. Taylor	32,945
Amy E. Hamilton	33,894	Robert D. Titus	40,206
Martin A. Hay	39,459	MaCharri Vomdran-Jones	36,711
Thomas E. Jackson	33,064	Thomas D. Webster	39,872

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

Direct all correspondence to:

Name	ELI LILLY AND COMPANY		
Address	ATTN: James J. Sales		
Address	LILLY CORPORATE CENTER/DC1104		
City	INDIANAPOLIS	State	INDIANA
Country	USA	ZIP	46285
Telephone	(317) 276-3474	Fax	(317) 276-3861

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A Petition has been filed for this unsigned inventor

Given Name	Fredric	Middle Initial	J	Family Name	Cohen	Suffix e.g. Jr.	
Inventor's Signature	<i>Fredric J Cohen</i>					Date	2/24/98
Residence: City	8330 Catamaran Drive	State	In	Country	US	Citizenship	US
Post Office Address	SAME AS ABOVE						
Post Office Address	SAME AS ABOVE						
City	Indianapolis	State	In	Zip	46285	Country	US

☒ Additional inventors are being named on supplemental sheet(s) attached hereto.

Please type a plus sign (+) inside this box ☐ **+**

PTO/SB/01 (8-96) (MODIFIED)
Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DECLARATION

Name of Additional Joint Inventor, if any:				A Petition has been filed for this unsigned inventor			
Given Name	Robert	Middle Initial	S	Family Name	Eckert	Suffix e.g. Jr.	
Inventor's Signature	<i>Robert Stephen Eckert</i>					Date	2/24/98
Residence: City	Indianapolis	State	IN	Country	US	Citizenship	US
Post Office Address	SAME AS ABOVE						
Post Office Address	6252 Douglas Road						
City	Indianapolis	State	IN	Zip	46220	Country	US
Name of Additional Joint Inventor, if any:				A Petition has been filed for this unsigned inventor			
Given Name	Joan	Middle Initial	E	Family Name	Glusman	Suffix e.g. Jr.	
Inventor's Signature	<i>Joan E. Glusman</i>					Date	2/24/98
Residence: City	Indianapolis	State	IN	Country	US	Citizenship	US
Post Office Address	SAME AS ABOVE						
Post Office Address	8650 Green Braes South Drive						
City	Indianapolis	State	IN	Zip	46234	Country	US
Name of Additional Joint Inventor, if any:				A Petition has been filed for this unsigned inventor			
Given Name	Ronald	Middle Initial	K	Family Name	Knickerbocker	Suffix e.g. Jr.	
Inventor's Signature	<i>Ronald Keith Knickerbocker</i>					Date	24 February 1998
Residence: City	Indianapolis	State	IN	Country	US	Citizenship	US
Post Office Address	SAME AS ABOVE						
Post Office Address	6133 Drawbridge Lane						
City	Indianapolis	State	IN	Zip	46250	Country	US
Name of Additional Joint Inventor, if any:				A Petition has been filed for this unsigned inventor			
Given Name	Nikolaus	Middle Initial	T	Family Name	Nickelsen	Suffix e.g. Jr.	
Inventor's Signature	<i>Nikolaus Thomas Nickelsen</i>					Date	28 February 1998
Residence: City	Bad Soden	State		Country	Germany	Citizenship	DE
Post Office Address	SAME AS ABOVE						
Post Office Address	Sodener Weg 42						
City	Bad Soden	State		Zip	65812	Country	Germany
Name of Additional Joint Inventor, if any:				A Petition has been filed for this unsigned inventor			
Given Name	Teri	Middle Initial	J	Family Name	Scott	Suffix e.g. Jr.	
Inventor's Signature	<i>Teri Anne Scott</i>					Date	2/24/98
Residence: City	Carmel	State	IN	Country	US	Citizenship	US
Post Office Address	SAME AS ABOVE						
Post Office Address	511 Cedar Lake Court						
City	Carmel	State	IN	Zip	46032	Country	US